

## European law of the financial markets

UGRA\_016229

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Departments	Department of International, European Union and Comparative Law
Teaching Languages	English
ECTS	6
Teacher responsible	Doce Deibe Maria Noemi - marianoemi.doce@esade.edu

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### Course Goals

The objective is to introduce students to the European regulation of financial markets and the legal relations that take place within financial markets across the European Union, understanding the role played by the different agents involved, the interest they pursue, the financial instruments they contract and the terms and principles that bind them.

### Previous knowledge

Basic knowledge of Contractual Law and European Union Law.

### Prerequisites

The necessary requirements to take the Grade.

### Teaching methodology

Combination of a theoretical base with material provided in advance for each session (specific documentation, reading articles, reports, etc.) and practical cases (analysis of the application of financial regulations to real cases, critical analysis of contracts, negotiation techniques based on real cases, simulation of court cases in financial instruments, etc.).

### Description

#### Course contribution to program

The course aims to provide students with solid knowledge and tools to understand the functioning of financial markets, both domestically and internationally, from a legal point of view; and carry out a personal assessment of the economic and financial policies that rule the relations that take place within the financial markets.

#### Short description

This subject will delve into the main financial instruments used in financial markets across the European Union through an approach to some of the most significant contractual figures, bringing students closer to the technique of drafting agreements and negotiation from practical experience.

### Bibliography

, Notes for each module will provide specific bibliography. (Document)

## Activities

### Teamwork

Analysis of a real court case related to a financial instrument representing plaintiff, defendant and court.

### Case study analyses

Legal analysis of pre-contractual information provided by European credit institutions to investors in financial instruments.

## Content

#	Topic
1	Financial System I: introduction, concept and economic function.
2	Financial System II: participants, markets and financial instruments.
3	Financial System III: industry regulation in the European Union.
4	Transparency regulation in the European Union: investor protection.
5	Solvency Regulation in the European Union: capital adequacy for credit institutions.
6	Financing European Regulation: consumer finance and structured finance.

## Assessment

Tool	Assessment tool	Category	Weight %
Written and/or oral exams	Examination	Retake and ordinary round	0.40%
Final individual project	Case study	Ordinary round	0.40%
Participation in program activities	Participation in class	Ordinary round	0.20%

### PROGRAMS

113S-Law Exchange Program (Undergraduates: Law)  
113S Year 1 (Optative)